

# RESEARCH FINANCIAL STRATEGIES

DATE: \_\_\_\_\_

## CLIENT PROFILE

### 1.

_____ FIRST NAME	_____ MIDDLE NAME	_____ LAST NAME	_____ NICKNAME	_____ MOTHER'S MAIDEN NAME
_____ DATE OF BIRTH	_____ SOCIAL SECURITY NUMBER	_____ DRIVER'S LICENSE NUMBER / STATE ISSUED / ISSUE DATE / EXPIRATION DATE		
_____ HOME ADDRESS	_____ CITY	_____ STATE	_____ ZIP CODE	_____ HOW LONG AT THIS ADDRESS?
_____ PREVIOUS HOME ADDRESS	_____ CITY	_____ STATE	_____ ZIP CODE	
_____ HOME TELEPHONE NUMBER	_____ CELL/MOBILE TELEPHONE NUMBER		_____ HOME E-MAIL ADDRESS	
_____ NAME OF EMPLOYER	_____ LENGTH OF EMPLOYMENT		_____ POSITION	
_____ OFFICE ADDRESS	_____ CITY		_____ STATE	_____ ZIP CODE
_____ OFFICE TELEPHONE NUMBER	_____ OFFICE FAX NUMBER		_____ OFFICE E-MAIL ADDRESS	
_____ PRIMARY BENEFICIARY	_____ RELATIONSHIP	_____ SOCIAL SECURITY	_____ DATE OF BIRTH	
_____ CONTINGENT BENEFICIARY	_____ RELATIONSHIP	_____ SOCIAL SECURITY	_____ DATE OF BIRTH	

### 2.

_____ FIRST NAME	_____ MIDDLE NAME	_____ LAST NAME	_____ NICKNAME	_____ MOTHER'S MAIDEN NAME
_____ DATE OF BIRTH	_____ SOCIAL SECURITY NUMBER	_____ DRIVER'S LICENSE NUMBER / STATE ISSUED / EXPIRATION DATE		
_____ NAME OF EMPLOYER	_____ LENGTH OF EMPLOYMENT		_____ POSITION	
_____ OFFICE ADDRESS	_____ CITY		_____ STATE	_____ ZIP CODE
_____ OFFICE TELEPHONE NUMBER	_____ OFFICE FAX NUMBER		_____ OFFICE E-MAIL ADDRESS	
_____ PRIMARY BENEFICIARY	_____ RELATIONSHIP	_____ SOCIAL SECURITY	_____ DATE OF BIRTH	
_____ CONTINGENT BENEFICIARY	_____ RELATIONSHIP	_____ SOCIAL SECURITY	_____ DATE OF BIRTH	

**3. CHILDREN'S INFORMATION (IF APPLICABLE)**

\_\_\_\_\_  
FIRST NAME MIDDLE NAME LAST NAME NICKNAME

\_\_\_\_\_  
DATE OF BIRTH SOCIAL SECURITY NUMBER

\_\_\_\_\_  
FIRST NAME MIDDLE NAME LAST NAME NICKNAME

\_\_\_\_\_  
DATE OF BIRTH SOCIAL SECURITY NUMBER

\_\_\_\_\_  
FIRST NAME MIDDLE NAME LAST NAME NICKNAME

\_\_\_\_\_  
DATE OF BIRTH SOCIAL SECURITY NUMBER

**4. FINANCIAL DATA & EXPERIENCE**

**A. WHAT IS YOUR TOTAL ANNUAL INCOME?**

- LESS THAN \$25,000
- BETWEEN \$25,000 AND \$49,999
- BETWEEN \$50,000 AND \$99,999
- BETWEEN \$100,000 AND \$249,999
- BETWEEN \$250,000 AND \$499,999
- BETWEEN \$500,000 AND \$749,999
- BETWEEN \$750,000 AND \$999,999
- OVER \$1,000,000 (MILLION)

**B. WHAT IS YOUR NET WORTH (EXCLUSIVE OF HOME)?**

- LESS THAN \$25,000
- BETWEEN \$25,000 AND \$49,999
- BETWEEN \$50,000 AND \$99,999
- BETWEEN \$100,000 AND \$249,999
- BETWEEN \$250,000 AND \$499,999
- BETWEEN \$500,000 AND \$749,999
- BETWEEN \$750,000 AND \$999,999
- OVER \$1,000,000 (MILLION)

**C. WHAT IS YOUR LIQUID NET WORTH?**

- LESS THAN \$25,000
- BETWEEN \$25,000 AND \$49,999
- BETWEEN \$50,000 AND \$99,999
- BETWEEN \$100,000 AND \$249,999
- BETWEEN \$250,000 AND \$499,999
- BETWEEN \$500,000 AND \$749,999
- BETWEEN \$750,000 AND \$999,999
- OVER \$1,000,000 (MILLION)

**D. WHAT IS YOUR FEDERAL TAX BRACKET?**

\_\_\_\_\_

**CLIENT SIGNATURE(S):**

\_\_\_\_\_

**DATE**

\_\_\_\_\_

**DATE**

**E. INVESTMENT EXPERIENCE: (NUMBER OF YEARS)**

\_\_\_\_\_ STOCKS \_\_\_\_\_ ANNUITIES \_\_\_\_\_ MUTUAL FUNDS \_\_\_\_\_ PARTNERSHIPS \_\_\_\_\_ BONDS

\_\_\_\_\_ OTHER (PLEASE EXPLAIN) \_\_\_\_\_